

# Database Management System

## Unit-4

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## **UNIT - IV: Structured Query Language (SQL)**

Normalization concept in logical model; Pitfalls in database design, update anomalies: Functional dependencies, Join dependencies, Normal forms (1NF, 2NF, 3NF). Boyce Codd Normal form, Decomposition, Multi-Valued Dependencies, 4NF, 5NF. De-normalization.

**Database anomalies** : There are three types of anomalies that occur when the database is not normalized. These are – Insertion, update and deletion anomaly.

**Example:** employee table that has four attributes: emp\_id, emp\_name, emp\_address and emp\_dept .

- **Update anomaly:** In the above table we have two rows for employee Ram as he belongs to two departments of the company. If we want to update the address of Ram then we have to update the same in two rows or the data will become inconsistent.
- **Insert anomaly:** Suppose a new employee joins the company, who is under training and currently not assigned to any department then we would not be able to insert the data into the table if emp\_dept field doesn't allow nulls.
- **Delete anomaly:** Suppose, if at a point of time the company closes the department D890 then deleting the rows that are having emp\_dept as D890 would also delete the information of employee Mahesh since he is assigned only to this department.

# Functional Dependency

- Functional Dependency (FD) is a constraint that determines the relation of one attribute to another attribute in a Database Management System.
- Functional dependency defines the dependency of attributes of a table with other attributes or Primary key attribute of the same table.
- Functional Dependency helps to maintain the quality of data in the database. It plays a vital role to find the difference between good and bad database design.
- A functional dependency is denoted by an arrow " $\rightarrow$ ". The functional dependency of X on Y is represented by  $X \rightarrow Y$ .

## **There are 5 kinds of dependencies-**

**1. Fully Functional dependency** – In it all the non key attribute depends on the primary key attribute.

Stud(Rno,snam, class, marks)     Here rno is primary key.

Rno->snam

Rno->class

Rno->marks

**2. Partial functional dependency** – In it the non-key attribute besides depending on primary key also depend on any other attribute of the table.

Rno, course\_name, Stud\_name, address, date\_of\_completion

Here rno is the primary key. The functional dependencies are-

Rno->stud\_name

Rno->address

Rno, course\_name->date\_of\_completion

So it is partial dependency.

**3. Transitive dependency** – In which one non-key attribute depends on the other non-key attribute.

Here if

$A \rightarrow B$

$B \rightarrow C$

Then  $A \rightarrow C$

i.e. if A is determined by B and B is determined by C then A is automatically determined by C.

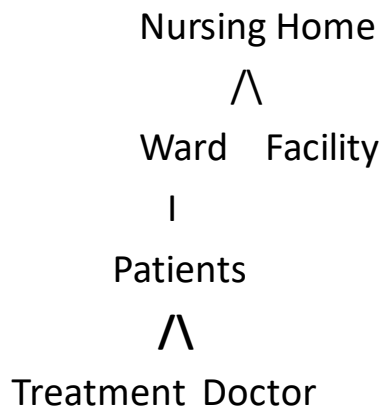
**4. Multivalued dependency-** Multivalued dependency occurs when two attributes in a table are independent of each other but, both depend on a third attribute.

$\text{BIKE\_MODEL} \twoheadrightarrow \text{MANUF\_YEAR}$

$\text{BIKE\_MODEL} \twoheadrightarrow \text{COLOR}$

In this case, these two columns can be called as multivalued dependent on BIKE\_MODEL.

**5. Join dependency** – In this hierarchical structure in which two or more attribute depends on one thing but they themselves are not related.



In this example, the ward and facility depends on Nursing Home but these two are not dependent each other. This type of dependency is known as join dependency.

**Decomposition** - Decomposition in DBMS removes redundancy, anomalies and inconsistencies from a database by dividing the table into multiple tables.

- **Good decomposition (Lossless Decomposition)**

The information will not lose from the relation when decomposed.

Decomposition is lossless if it is feasible to reconstruct relation R from decomposed tables using Joins. The join would result in the same original relation.

- **Bad decomposition (Lossy Decomposition)**

As the name suggests, when a relation is decomposed into two or more relational schemas, the loss of information is unavoidable when the original relation is retrieved.

# Normalization

- Normalization is the process of organizing the data in the database.
- Normalization is used to minimize the redundancy from a relation or set of relations. It is also used to eliminate the undesirable characteristics like Insertion, Update and Deletion Anomalies.
- Normalization divides the larger table into the smaller table and links them using relationship.
- The normal form is used to reduce redundancy from the database table.

# First Normal Form (1NF)

- relation will be 1NF if it contains an atomic value.
- It states that an attribute of a table cannot hold multiple values. It must hold only single-valued attribute.
- **Example:** Relation EMPLOYEE is not in 1NF because of multi-valued attribute EMP\_PHONE.

| EMP_ID | EMP_NAME | EMP_PHONE                 | EMP_STATE |
|--------|----------|---------------------------|-----------|
| 14     | John     | 7272826385,<br>9064738238 | UP        |
| 20     | Harry    | 8574783832                | Bihar     |
| 12     | Sam      | 7390372389,<br>8589830302 | Punjab    |

The decomposition of the EMPLOYEE table into 1NF has been shown below:

| EMP_ID | EMP_NAME | EMP_PHONE  | EMP_STATE |
|--------|----------|------------|-----------|
| 14     | John     | 7272826385 | UP        |
| 14     | John     | 9064738238 | UP        |
| 20     | Harry    | 8574783832 | Bihar     |
| 12     | Sam      | 7390372389 | Punjab    |
| 12     | Sam      | 8589830302 | Punjab    |

# Second Normal Form (2NF)

- In the 2NF, relational must be in 1NF and all non-key attributes are fully functional dependent on the primary key
- **Example:** Let's assume, a school can store the data of teachers and the subjects they teach. In a school, a teacher can teach more than one subject.
- Teacher Table -

| TEACHER_ID | SUBJECT   | TEACHER_AGE |
|------------|-----------|-------------|
| 25         | Chemistry | 30          |
| 25         | Biology   | 30          |
| 47         | English   | 35          |
| 83         | Math      | 38          |
| 83         | Computer  | 38          |

In the given table, non-prime attribute TEACHER\_AGE is dependent on TEACHER\_ID which is a proper subset of a candidate key.

To convert the given table into 2NF, we decompose it into two tables:-

1. Teacher detail table

2. Teacher subject table

1.

| TEACHER_ID | TEACHER_AGE |
|------------|-------------|
| 25         | 30          |
| 47         | 35          |
| 83         | 38          |

2.

| TEACHER_ID | SUBJECT   |
|------------|-----------|
| 25         | Chemistry |
| 25         | Biology   |
| 47         | English   |
| 83         | Math      |
| 83         | Computer  |

# Third Normal Form (3NF)

- A relation will be in 3NF if it is in 2NF and not contain any transitive dependency.
- 3NF is used to reduce the data duplication. It is also used to achieve the data integrity.

## EMPLOYEE\_DETAIL table:

| EMP_ID | EMP_NAME  | EMP_ZIP | EMP_STATE | EMP_CITY |
|--------|-----------|---------|-----------|----------|
| 222    | Harry     | 201010  | UP        | Noida    |
| 333    | Stephan   | 02228   | US        | Boston   |
| 444    | Lan       | 60007   | US        | Chicago  |
| 555    | Katharine | 06389   | UK        | Norwich  |
| 666    | John      | 462007  | MP        | Bhopal   |

Here, EMP\_STATE & EMP\_CITY dependent on EMP\_ZIP and EMP\_ZIP dependent on EMP\_ID. The non-key attributes (EMP\_STATE, EMP\_CITY) transitively dependent on super key(EMP\_ID). It violates the rule of third normal form.

That's why we need to move the EMP\_CITY and EMP\_STATE to the new <EMPLOYEE\_ZIP> table, with EMP\_ZIP as a Primary key.

EMPLOYEE table:

| EMP_ID | EMP_NAME  | EMP_ZIP |
|--------|-----------|---------|
| 222    | Harry     | 201010  |
| 333    | Stephan   | 02228   |
| 444    | Lan       | 60007   |
| 555    | Katharine | 06389   |
| 666    | John      | 462007  |

EMPLOYEE\_ZIP table:

| EMP_ZIP | EMP_STATE | EMP_CITY |
|---------|-----------|----------|
| 201010  | UP        | Noida    |
| 02228   | US        | Boston   |
| 60007   | US        | Chicago  |
| 06389   | UK        | Norwich  |
| 462007  | MP        | Bhopal   |

# Boyce Codd normal form (BCNF)

- BCNF is the advance version of 3NF and is also known as 3.5 Normal Form.
- A table is in BCNF if every functional dependency  $X \rightarrow Y$ , X is the super key of the table.
- For BCNF, the table should be in 3NF, if an attribute of a composite key is dependent on an attribute of the other composite key.
- **Example: Professor table**

| Prof_code | Dept      | HOD      | Percent |
|-----------|-----------|----------|---------|
| P1        | Physics   | Ghosh    | 50      |
| P1        | Maths     | Krishnan | 50      |
| P2        | Chemistry | Rao      | 25      |
| P2        | Physics   | Ghosh    | 75      |
| P3        | Maths     | Krishnan | 100     |

**Dept**

|                  |                 |
|------------------|-----------------|
| <b>Dept</b>      | <b>HOD</b>      |
| <b>Physics</b>   | <b>Ghosh</b>    |
| <b>Maths</b>     | <b>Krishnan</b> |
| <b>Chemistry</b> | <b>Rao</b>      |

**professor**

| <b>Prof_code</b> | <b>Dept</b>      | <b>Percent</b> |
|------------------|------------------|----------------|
| <b>P1</b>        | <b>Physics</b>   | <b>50</b>      |
| <b>P1</b>        | <b>Maths</b>     | <b>50</b>      |
| <b>P2</b>        | <b>Chemistry</b> | <b>25</b>      |
| <b>P2</b>        | <b>Physics</b>   | <b>75</b>      |
| <b>P3</b>        | <b>Maths</b>     | <b>100</b>     |

# Fourth normal form (4NF)

- A relation will be in 4NF if it is in Boyce Codd normal form and has no multi-valued dependency.
- For a dependency  $A \twoheadrightarrow B$ , if for a single value of A, multiple values of B exists, then the relation will be a multi-valued dependency.

**Student table**

| STU_ID | COURSE    | HOBBY   |
|--------|-----------|---------|
| 21     | Computer  | Dancing |
| 21     | Math      | Singing |
| 34     | Chemistry | Dancing |
| 74     | Biology   | Cricket |
| 59     | Physics   | Hockey  |

In the STUDENT relation, a student with STU\_ID, **21** contains two courses, **Computer** and **Math** and two hobbies, **Dancing** and **Singing**. So there is a Multi-valued dependency on STU\_ID, which leads to unnecessary repetition of data. So to make the above table into 4NF, we can decompose it into two tables:

STUDENT\_COURSE

| STU_ID | COURSE    |
|--------|-----------|
| 21     | Computer  |
| 21     | Math      |
| 34     | Chemistry |
| 74     | Biology   |
| 59     | Physics   |

# STUDENT\_HOBBY

| STU_ID | HOBBY   |
|--------|---------|
| 21     | Dancing |
| 21     | Singing |
| 34     | Dancing |
| 74     | Cricket |
| 59     | Hockey  |

# Fifth normal form (5NF)

- A relation is in 5NF if it is in 4NF and not contains any join dependency and joining should be lossless.
- 5NF is satisfied when all the tables are broken into as many tables as possible in order to avoid redundancy.
- 5NF is also known as Project-join normal form (PJ/NF).

| SUBJECT   | LECTURER | SEMESTER   |
|-----------|----------|------------|
| Computer  | Anshika  | Semester 1 |
| Computer  | John     | Semester 1 |
| Math      | John     | Semester 1 |
| Math      | Akash    | Semester 2 |
| Chemistry | Praveen  | Semester 1 |

- In the given table, John takes both Computer and Math class for Semester 1 but he doesn't take Math class for Semester 2. In this case, combination of all these fields required to identify a valid data.
- Suppose we add a new Semester as Semester 3 but do not know about the subject and who will be taking that subject so we leave Lecturer and Subject as NULL. But all three columns together acts as a primary key, so we can't leave other two columns blank.
- So to make the above table into 5NF, we can decompose it into three relations P1, P2 & P3:

**P1**

| SEMESTER   | SUBJECT   |
|------------|-----------|
| Semester 1 | Computer  |
| Semester 1 | Math      |
| Semester 1 | Chemistry |
| Semester 2 | Math      |

**P2**

| SUBJECT   | LECTURER |
|-----------|----------|
| Computer  | Anshika  |
| Computer  | John     |
| Math      | John     |
| Math      | Akash    |
| Chemistry | Praveen  |

**P3**

| SEMSTER    | LECTURER |
|------------|----------|
| Semester 1 | Anshika  |
| Semester 1 | John     |
| Semester 1 | John     |
| Semester 2 | Akash    |
| Semester 1 | Praveen  |

| <b><u>Normal Form</u></b> | <b><u>Description</u></b>   |
|---------------------------|---|
| 1NF                       | A relation is in 1NF if it contains an atomic value.  |
| 2NF                       | A relation will be in 2NF if it is in 1NF and all non-key attributes are fully functional dependent on the primary key. |
| 3NF                       | A relation will be in 3NF if it is in 2NF and no transition dependency exists.  |
| 4NF                       | A relation will be in 4NF if it is in Boyce Codd normal form and has no multi-valued dependency.                        |
| 5NF                       | A relation is in 5NF if it is in 4NF and not contains any join dependency and joining should be lossless.               |

# De-normalization

- De-normalization is the process of increasing the redundancy in the database.
- It is the opposite process of normalization.
- It is mostly done for improving the performance.
- It is a strategy that database managers use to increase the performance of a database structure.
- De-normalization adds redundant data normalized database for reducing the problems with database queries which combine data from the various tables into a single table.
- The process of adding redundant data to get rid of complex join, in order to optimize database performance. This is done to speed up database access by moving from higher to lower form of normalization.
- Data is included in one table from another in order to eliminate the second table which reduces the number of JOINS in a query and thus achieves performance.

# Difference Between Normalization and De-normalization

- Normalization and de-normalization are the methods used in databases. The terms are differentiable where **Normalization** is a technique of minimizing the insertion, deletion and update anomalies through eliminating the redundant data. On the other hand, **De-normalization** is the inverse process of normalization where the redundancy is added to the data to improve the performance of the specific application and data integrity.

# Key Differences Between Normalization and De-normalization

- Normalization is the technique of dividing the data into multiple tables to reduce data redundancy and inconsistency and to achieve data integrity. On the other hand, De-normalization is the technique of combining the data into a single table to make data retrieval faster.
- Normalization is used in **OLTP** system, which emphasizes on making the insert, delete and update anomalies faster. As against, De-normalization is used in **OLAP** system, which emphasizes on making the search and analysis faster.
- Data integrity is maintained in normalization process while in de-normalization data integrity harder to retain.
- Redundant data is eliminated when normalization is performed whereas de-normalization increases the redundant data.
- Normalization increases the number of tables and joins. In contrast, de-normalization reduces the number of tables and join.
- Disk space is wasted in de-normalization because same data is stored in different places. On the contrary, disk space is optimized in a normalized table.

| BASIS FOR COMPARISON | NORMALIZATION  | DENORMALIZATION  |
|----------------------|--|--|
| Basic                | Normalization is the process of creating a set schema to store non-redundant and consistent data.                          | Denormalization is the process of combining the data so that it can be queried speedily. |
| Purpose              | To reduce the data redundancy and inconsistency.   | To achieve the faster execution of the queries through introducing redundancy.           |
| Used in              | OLTP system, where the emphasize is on making the insert, delete and update anomalies faster and storing the quality data. | OLAP system, where the emphasis is on making the search and analysis faster.             |
| Data integrity       | Maintained   | May not retain   |
| Redundancy           | Eliminated   | Added  |
| Number of tables     | Increases  | Decreases  |
| Disk space           | Optimized usage  | Wastage  |